

Docket: 14445.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Matthew P. Dugas		
Appln. No.:	10/600,561		
Filed:	June 19, 2003	Examiner:	Unknown
	Optical Path for a Thermal-Assisted	Group Art	
Title:	Magnetic Recording Head	Unit:	Unknown

REQUEST FOR REFUND TO DEPOSIT ACCOUNT
(UNDER 37 CFR § 1.26)

Mail Stop 16

Director of the US Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this document is being sent via First Class
U.S. mail addressed to: Mail Stop 16, Commissioner
for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on
this 17 day of July, 2003.

Frances E. Row
(Signature)

Sir:

Applicant hereby petitions the Commissioner to credit a refund to Deposit Account No. 04-1420 for an overpayment, which occurred in the processing of the above-identified patent application. Specifically, on June 19, 2003, Applicant filed a utility patent application containing twenty claims, including **three** independent claims. The Commissioner was authorized to charge the proper filing fee of **\$375.00** to the deposit account. The Deposit Account Statement (*copy enclosed, and charges highlighted*), shows that the Patent Office erroneously charged the large entity filing fee and one additional independent claim fee to the deposit account.

Adjustment date: 07/30/2003 EEKUBAY1
06/24/2003 WHEKONEN 00000038 041420 10600561
01 FC:1001 750.00 CR
02 FC:1201 84.00 CR

07/30/2003 EEKUBAY1 00000005 041420 10600561
01 FC:2001 375.00 DA
02 FC:2201 42.00 DA

OFFICE OF FINANCE
REFUND BRANCH
2003 JUL 24 AM 8:15
US PATENT & TRADEMARK
OFFICE

Applicant respectfully requests a refund of \$459.00, which includes \$375.00 for the filing fee, and \$84.00 for the extra independent claim. Applicant qualifies as a small entity as evidenced on the Utility Patent Application Transmittal and Fee Transmittal (*copies enclosed*). Please credit the refund to our deposit account.

Respectfully submitted,

DORSEY & WHITNEY LLP
Customer Number 25763

Date: 7.17.03

By: Jason R. Kraus
Jason R. Kraus, (Reg. No. 42,765)
Intellectual Property Department
Suite 1500, 50 South Sixth Street
Minneapolis, MN 55402-1498
(612) 340-5617



UNITED STATES
PATENT AND
TRADEMARK OFFICE

Return To:

USPTO
Home
PageFinance
Home
Page**Deposit Account Statement****Requested Statement Month:**

June 2003

Deposit Account Number:

041420

Name:

DORSEY & WHITNEY, LLP

Attention:

CATHERINE LAWRENCE

Address:

50 SOUTH FIFTH STREET

City:

MINNEAPOLIS

State:

MN

Zip:

55402-1498

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
06/03	108	E-		9203	-\$101.00	\$23,422.68
06/04	63	10421295		8021	\$40.00	\$23,382.68
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06/09	46	60476047	14558	2005	\$80.00	\$23,163.68
06/09	59	E-		9203	-\$936.00	\$24,099.68
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06/27	7	10429619	14567.01	2202	\$9.00	\$20,853.68
06/30	110	PCT/US03/19662	14445.02	1601	\$240.00	\$20,613.68
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06/30	112	PCT/US03/19662	14445.02	1702	\$476.00	\$19,117.68
06/30	113	PCT/US03/19662	14445.02	1707	\$520.00	\$18,597.68
06/30	114	PCT/US03/19662	14445.02	8007	\$40.00	\$18,557.68
		START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE	
		\$23,321.68	\$5,969.00	\$1,205.00	\$18,557.68	

COPY

UTILITY PATENT APPLICATION TRANSMITTAL

Our Docket No.: 14445.01

First Named Inventor: Matthew P. Dugas

Title: Optical Path for a Thermal-Assisted Magnetic Recording Head

Express Mail No.: EV324255873US

ADDRESS TO:

Mail Stop PATENT APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

APPLICATION ELEMENTS

1. ☒ Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
3. ☒ Specification Total Pages: 13
 - ☒ Descriptive title of the invention
 - ☒ Cross Reference to Related Applications
 - ☐ Statement Re: Fed. Sponsored R&D
 - ☐ Reference to Microfiche Appendix
 - ☒ Background of the Invention
 - ☒ Brief Summary of the Invention
 - ☒ Brief Description of the Drawings
 - ☒ Detailed Description
 - ☒ Claims
 - ☒ Abstract of the Disclosure
4. ☒ Drawings (35 U.S.C. 113) Total Sheets: 10
5. ☐ Oath or Declaration Total Pages:
 - a. ☐ Newly Executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 19 completed)
 - i. ☐ **DEFECTION OF INVENTORS**
Signed statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))
6. ☒ Application Data Sheet (37 C.F.R. 1.76)
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 C.F.R. 3.73(b) Submission
12. ☐ Power of Attorney
13. ☐ Information Disclosure Statement
(with Copies of Citations as necessary)
14. ☐ Preliminary Amendment Total Pages:
15. ☐ Certified Copy of Priority document(s)
(if foreign priority is claimed)
16. ☐ English Translation Document (if applicable)
17. ☒ Return Receipt Postcard
(Should be specifically itemized)
18. ☐ Other
 - ☐ Check No. _____ for \$ _____
 - ☐ Copy of Power of Attorney from prior application
 - ☐
 - ☐
 - ☐

CONTINUATION APPLICATIONS

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

- ☐ Continuation
- ☐ Division
- ☐ Continuation-in-Part (CIP)

OF PRIOR APPLICATION

No. _____, filed _____
and also claims priority from _____, dated _____.

For CONTINUATION or DIVISIONAL APPLICATIONS: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference.

CORRESPONDENCE ADDRESS

ADDRESS

CUSTOMER NUMBER

25763
25763
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Intellectual Property Department
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Minneapolis, MN 55402-1498

TELEPHONE

612-340-5617

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Attorney Name: Jason R. Kraus

Reg. No. 42,765

Signature: 

Date: June 19, 2003

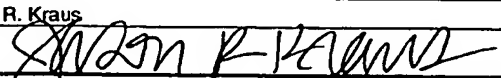
COPY

APPLICATION FEE TRANSMITTAL SHEET (FY 2003)

Cor. te if Known	
Application No.	Unknown
Filing Date	Herewith
First Named Inventor	Matthew P. Dugas
Group Art Unit	Unknown
Examiner Name	Unknown
Atty. Docket Number	14445.01

METHOD OF PAYMENT (Check One)		FEE CALCULATION (Continued)																																																																															
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: <u>04-1420</u> Deposit Account Name: <u>DORSEY & WHITNEY LLP</u> <input checked="" type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27)		3. ADDITIONAL FEES																																																																															
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Submitted by:

CUSTOMER NUMBER 25763	DORSEY & WHITNEY LLP	Intellectual Property Department Suite 1500, 50 South Sixth Street Minneapolis, MN 55402
Name: Jason R. Kraus	Phone No.: 612-340-5617	Fax No.: 612-340-8856
Signature: 	Reg. No.: 42,765	Date: June 19, 2003